

1           **The Effects of State-Based and Event-Based Data Representations on**  
2                           **User Performance in Query Formulation Tasks<sup>1</sup>**

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# The Effects of State-Based and Event-Based Data Representations on User Performance in Query Formulation Tasks

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3  
4   **Abstract**

5 Ad-hoc query formulation is an important task in effectively utilizing organizational data resources.  
6 To facilitate this task managers and casual end-users are commonly presented with database views  
7 expressly constructed for their use. Differences in the way in which things, states, and events are  
8 represented in such views can affect a user's ability to understand the database, potentially leading to  
9 different levels of performance, i.e., accuracy, confidence, and prediction of the accuracy of their  
10 queries. An experiment was conducted over the Internet involving 342 subjects from six universities  
11 in the North America and Europe to investigate these effects. When presented with an event-based  
12 view subjects expressing low or very low comfort levels in reading E-R diagrams expressed  
13 confidence that better predicted query accuracy although there were no significant differences in  
14 actual query accuracy or level of confidence expressed.

15  
16 **Keywords:**                   Query Formulation Performance, Event-Based, State-Based, and Artifact-  
17   Based, Data Models, Database User View, Sense-Making, E-R diagram

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19 **ISRL Categories:**       EI0208, EI0205.02, EI0206.02, EI0215, FD06, FD01, GB06, GA03, HD02, AP,  
20   AC0301, AC0305

## INTRODUCTION

The ability to effectively utilize organizational data resources has become a major source of competitive advantage. Data warehouses, for example, commonly provide end-user access to organizational data in support of strategy formulation, real-time decision-making, and other management activities (Borthick et al. 2001; Gray and Watson 1998). Relational database management systems (RDBMS) provide the underlying technology for maintaining and accessing organizational data resources. The logical structure of such databases is often complex (Shasha 1996; Teorey et al. 1986). While there has been significant research on multidimensional and graphical interfaces to such databases (Speier and Morris 2003), SQL remains the standard language for specifying ad-hoc queries. Accurately formulating queries in SQL is a challenging task (Siau et al. 2004; Leitheiser and March 1996; Chan et al. 1993) and the detrimental effects of using data from inappropriately formulated queries can be significant.

Relational database management systems facilitate SQL query formulation tasks by enabling the definition of *database views* or *virtual* tables (Halevy, 2001) in addition to the definition of *base* or *implemented* tables. A *user view* is a collection of base and/or virtual tables that are visible to a user and against which the user specifies queries. The database management system automatically maps queries posed on user views into the base tables in the database. Hence, user views are logical-level constructs that provide different users with different conceptualizations of the same database. A user view defined for a specific set of users is frequently communicated to them by means of a conceptual-level diagram, e.g., an Entity-Relationship (E-R) diagram (MicroStrategy 2003).

We study the effects of state-based and event-based user views and their corresponding conceptual-level diagrams on performance in query formulation tasks. A *state-based* view organizes data around things and the properties that define their states. An *event-based* view organizes data around events and the affected resources and agents.



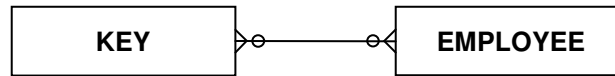
1 domain (Bronts et al. 1995; Kent 1978). The E-R diagram produced for a real-world domain is  
2 determined, at least in part, by the conceptual-modeling method employed. A *conceptual modeling*  
3 *method* prescribes techniques for accomplishing a data modeling task, including procedures for  
4 identifying phenomena to be modeled and for mapping identified phenomena to a data modeling  
5 grammar's constructs.

6 We differentiate two types of conceptual-modeling methods. The first focuses on things  
7 and their descriptions (states), viewing the database as a snapshot of reality (Dey et al. 1995; Teorey  
8 et al. 1986). The second focuses on events and the affected resources and agents, viewing the  
9 database as a composite of transactions or "economic events" (McCarthy 1982). Both types of  
10 conceptual-modeling methods can utilize the E-R grammar which defines an entity as any thing or  
11 event "which can be distinctly identified" (Chen 1976, p. 10); however, E-R diagrams produced  
12 using them are typically quite different. Using the former type of method results in what we term a  
13 *state-based E-R diagram*. It contains an entity for each relevant thing in the real-world domain. Using  
14 the latter type results in what we term an *event-based E-R diagram*. It contains an entity for each  
15 relevant event and an entity for each of the affected things.

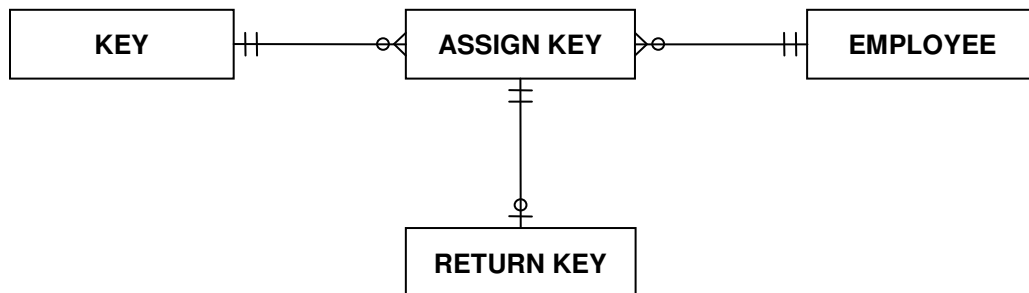
16 Consider, for example, a company that must keep track of building keys that have been  
17 assigned to its employees. Each key may be assigned to a particular employee and each employee  
18 may be assigned multiple keys. When an employee no longer needs a key, it is returned and may  
19 subsequently be assigned to a different employee. A state-based E-R method would identify "Key"  
20 and "Employee" as entities, representing the assignment of keys to employees as a relationship  
21 (Figure 1a). An event-based E-R method would additionally identify the events, "Assign Key" and  
22 "Return Key," and represent them as entities (Figure 1b).

23 The differences between these two types of conceptual-modeling methods are rooted in  
24 their different ontological foundations. Ontology has been used as a theoretical lens for examining

1 various elements of information systems representations (e.g., Geerts and McCarthy 2002; Wand et  
 2 al. 1999) and provides a basis for differentiating state-based and event-based E-R diagrams. An  
 3 ontology defines a set of constructs used to represent real-world phenomena.



A. State-Based E-R Diagram



B. Event-Based E-R Diagram

Figure 1: E-R Diagrams for Tracking Building Key Assignments

4  
 5 Ontologies commonly used in information system modeling include the concepts *thing*  
 6 roughly corresponding to entity-instance and *property* roughly corresponding to attribute or  
 7 relationship in the E-R grammar. The *state* of a thing is defined as the set of values of its properties  
 8 at a point in time (Wand and Weber 1995). The concept of *event* is recognized in these ontologies;  
 9 however it is not consistently defined. The ontological works of Sowa (1999), Brody (1980), Tiles  
 10 (1981), and Feibleman (1951) define things and events uniformly, allowing both to have existence  
 11 (yielding identity) and properties. A **thing** *exists* at a given time, can be identified, and has  
 12 properties. Similarly, an **event** *occurs* at a given time, can be identified, and has properties. From this  
 13 ontological perspective events such as Assign Key and Return Key are appropriately represented as  
 14 entities in an E-R diagram.

15 In contrast, Bunge’s (1977) ontology and the information system ontology posed by Wand

1 and Weber (1995) define an event as a "change of state of a thing" (p. 210) and conclude that event  
2 as an ontological construct is "not represented" in the E-R data modeling grammar (p. 217). Unlike  
3 things, which have existence (yielding the notion of *identity*) and properties, events themselves cannot  
4 have properties (Burton-Jones and Weber 1999; Wand et al. 1999). Adhering to such an ontology  
5 expressly precludes modeling events such as Assign Key and Return Key as entities in an E-R  
6 diagram. Debates about appropriate ontological underpinnings for conceptual modeling have not  
7 yielded a basis for predicting human performance (Gemino and Wand forthcoming). To garner  
8 evidence with which to predict performance in query formulation we turn to the literature in  
9 psychology and human cognitive processing.

10         Humans have an innate competency for processing events. Human memory for events and  
11 past experiences is psychologically and physiologically different from human memory for facts and  
12 concepts (Tulving 2002; Nyberg 1998; Tulving 1983). Moreover, events are fundamental to  
13 narrative thinking (Robinson and Hawpe 1986) and to the representation of causality (Ramesh and  
14 Browne 1999; Pillemer 1998). Both are principal processes in human sense-making (Gee 1985).  
15 Furthermore, humans use this narrative/event processing competency as a powerful tool for verbal  
16 and written communication (Orr 1990).

17         Other human information processing competencies and limitations may also play important  
18 roles in determining query formulation performance. Two that have been considered in the  
19 information systems literature are construct overload and categorization. It can be argued that using  
20 entities to represent both things and events will result in construct overload and cause ambiguities in  
21 the model and deterioration of understanding and performance (Burton-Jones and Weber 1999;  
22 Wand and Weber 1995). This may be the case if people categorize events differently from things in  
23 their conceptualization of data, i.e., if they do not ascribe existence or properties to both of them.  
24 Conversely if people conceptualize events as being "information bearing," i.e., having existence and

1 properties, then this is not the case and an entity construct that treats them uniformly, as initially  
2 proposed by Chen (1976), is appropriate.

3 Information systems researchers have most often studied human categorization  
4 competencies in the context of classifying things (e.g., Parsons and Wand 2000). However, the  
5 human classification competency applies equally well to the categorization of human social  
6 interaction and the experience of events (Lakoff 1987). Thus, we expect this categorization  
7 competency to have a similar influence in reading categorized abstractions of a domain (such as E-R  
8 diagrams) whether they are state-based or event-based. Consequently we conjecture that if an event-  
9 based data model engages the human narrative competency then it should result in improved  
10 understanding of a database and therefore improved query formulation performance.

### 11 **PRIOR RESEARCH**

12 Prior empirical research has investigated the effects of conceptual and logical models  
13 (grammars and scripts) on different types of database interactions including design, validation,  
14 understanding, and use in problem-solving and query formulation (e.g., Batra et al. 1990; Jih et al.  
15 1989). Specifically, Chan et al. (1993) studied the effects of abstraction level on query performance.  
16 They found that subjects performed significantly better at ad-hoc query formulation when  
17 interacting with a database at the conceptual level than at the logical level.

18 Kim and March (1995) studied the effects of two conceptual-modeling grammars, E-R and  
19 NIAM (Halpin 2001; Weber and Zhang 1991), on data modeling and validation tasks. They found  
20 that analysts using the E-R grammar produced models that were more accurate than analysts using  
21 the NIAM grammar. They found no significant performance differences between managers using  
22 models expressed in the E-R grammar and managers using models expressed in the NIAM grammar  
23 for validation tasks. The E-R and NIAM models used in this study were all state-based. This may  
24 be an explanation for the lack of significant results; that users' understanding of a data model

1 diagram (conceptual-modeling script) and the real-world domain it represents are more significantly  
2 affected by the ontological foundations of the conceptual-modeling *method* that produces it than by  
3 the conceptual-modeling *grammar* that expresses it.

4         Sinha and Vessey (1999) study end-user performance in developing conceptual-modeling  
5 scripts and corresponding logical-modeling scripts, comparing the E-R conceptual-modeling  
6 grammar and an object-oriented diagram (OOD) conceptual-modeling grammar with each other and  
7 with corresponding logical level grammars (relational and object-oriented text (OOT), respectively).  
8 They conclude that a conceptual-modeling grammar (E-R or OOD) results in superior modeling  
9 performance when compared to a logical-modeling grammar (relational or OOT). Performance is  
10 measured by the accuracy of the model produced using a given grammar for a set of specified  
11 constructs (e.g., entities/classes, attributes, and relationships). Accuracy is computed as a weighted  
12 percentage of correctly formulated instances of that construct in a subject's solution as compared to  
13 an expert's solution. We use a similar scheme to evaluate the accuracy of posed SQL queries.

14         More recently, researchers have studied differences in user performance that result from the  
15 use of ontologically diverse conceptual modeling methods. Bodart et al. (2001) and Gemino and  
16 Wand (2005) study the optional property construct in conceptual modeling. Conceptual-modeling  
17 methods conforming to Bunge's ontology preclude the use of optional properties while a number of  
18 commonly used conceptual modeling methods allow them. Both studies found that for problem  
19 solving tasks, precluding optional properties results in significantly better performance than allowing  
20 them. Bowen et al. (2004) studied the optional property construct in the context of query  
21 formulation performance. They found that for moderately complex models, precluding optional  
22 properties results in significantly worse performance than allowing them.

23         We similarly study the effects of ontological foundations on query formulation performance.  
24 However, rather than studying the effects of optional properties we study the effects of differences

1 in the ontological definition of the event construct.

## 2 RESEARCH METHODOLOGY

### 3 Research Model

4 Our research model (Figure 2) is adapted from Chan et al. (1993). It asserts that query  
5 performance is influenced by characteristics of the data model and the user. Chan et al. (1993) study  
6 the abstraction level of a data model while we study its ontological foundations. Specifically we  
7 study three ontological foundations: state-based, event-based, and a mix of the two that we term  
8 artifact-based. We use these to develop three distinct conceptual level (E-R) diagrams with  
9 corresponding logical level (relational) data models. Each pair comprises an experimental treatment.

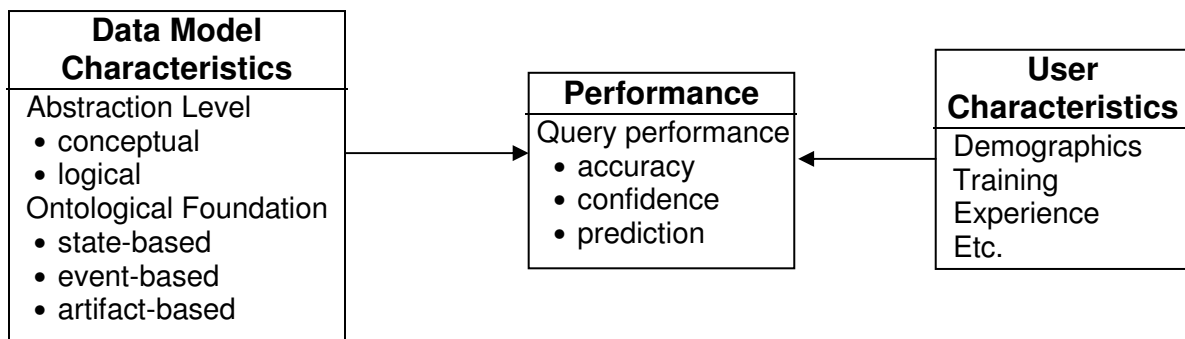


Figure 2. The Research Model

10 We study query formulation performance using a single set of information requests (query  
11 requirements) for all subjects. User characteristics include demographics, training, experience, etc.  
12 We address differences in user characteristics by randomly assigning subjects to treatments.

### 13 Dependent Variables—Query Performance Measures

14 Three variables measure query performance: accuracy, confidence, and prediction of  
15 accuracy. Although evaluated using different means, *accuracy* is almost always measured as an ordinal  
16 value indicating how correct a query is. Prior studies have evaluated it using subjective assessments  
17 (Borthick et al. 2001; Chan et al. 1993) and objective assessments (e.g., Sinha and Vessey 1999; Kim  
18 and March 1995). We use an objective assessment, *semantic correctness*, defined as the ratio of required

1 semantic elements included in a subject's query to the total number of semantic elements required in  
2 a correctly formulated query (see Appendix A). As in prior studies the second dependent variable,  
3 *confidence*, is self-reported and measured using a five-point Likert scale.

4 The third dependent variable, *prediction of accuracy*, has not been examined extensively in prior  
5 studies on query formulation. It deals with how well users' self-reported confidence in the accuracy  
6 of a query predicts that query's accuracy. Without distinguishing between overconfidence and under  
7 confidence, it reports a user's absolute proficiency at self-assessment. Such a measure is important  
8 because users who exhibit higher proficiency at self-assessment more accurately identify when  
9 queries produce the intended results and when they do not. Accordingly, they are more likely to  
10 *appropriately* rely on query results in decision-making and other managerial tasks. Although the  
11 effects of over confidence may differ from the effects of under confidence, either can be detrimental  
12 to the effective use of organizational information resources.

13 Prediction of accuracy is measured using Mean Prediction Score—a simple modification of  
14 the Mean Probability Score (Yates 1990) to account for prediction of a continuous rather than  
15 dichotomous variable. We developed this measure to compensate for problems using correlation-  
16 based measures of the proficiency of self-assessment. Specifically, when subjects express the same  
17 confidence for each prediction, a correlation between confidence and accuracy cannot be calculated.  
18 Mean Prediction Score does not suffer from this limitation. Mean prediction score is bounded by  
19 zero and one, with zero indicating perfect prediction; its calculation is described in Appendix B.

## 20 **Research Hypotheses**

21 We rely on several points from our prior discussion of human cognitive processing in the  
22 formulation of this study's hypotheses. 1) Humans have a specific mental capacity for processing  
23 and recalling events in addition to the capacity for processing and recalling facts. 2) One of the  
24 primary ways that humans make sense of that with which they are not familiar is through

1 event/narrative sense-making. 3) Temporally sequenced events are an effective mode of  
2 communication, in written forms as well in spoken forms. Conjecturing that sense-making  
3 competencies are evoked as individuals interact with a database, we hypothesize that users will  
4 perform better at query formulation when using conceptual and logical data models that expressly  
5 represent events than they will when using conceptual and logical data models that focus on things  
6 and their states. We recognize that other cognitive processes are involved in understanding database  
7 schemata; however, other things equal, we expect that the direct representation of events will lead to  
8 a better understanding of the database, which will be manifest in better query performance  
9 (accuracy, confidence, and prediction). Accordingly, we state our hypotheses as follows:

10 **H1 (accuracy):** Individuals using event-based models will formulate queries that are more  
11 accurate (semantically correct) than will individuals using state-based models.

12 **H2 (confidence):** Individuals using event-based models will express higher confidence than will  
13 individuals using state-based models.

14 **H3 (prediction):** Individuals using event-based models will express confidence that better  
15 predicts the accuracy of their queries than will subjects using state-based models.

16 Another common measure used in query performance studies is the time subjects took to  
17 compose individual queries (Chan et al. 1993). Typically, experiments are time restricted so the  
18 variance on any time-based measures is constrained. However, in our experiment subjects were  
19 allowed to spend as much or as little time as desired. The experiment was given as an extra-credit  
20 homework assignment, and because our experience has indicated widely varying time in the  
21 completion of homework, we expected the variation in time to be too large to produce significant  
22 results. Accordingly, we do not formally hypothesize about time.

### 23 **Independent Variable and Covariates**

24 As illustrated in Figure 2 the independent variable is the ontological foundation of the

1 conceptual modeling method used to produce the data model, state-based, event-based, or artifact-  
2 based. Because subjects must understand the semantics of the database as well as its logical  
3 structure before they can successfully formulate SQL queries, each treatment includes both  
4 abstraction levels. The conceptual level is expressed using E-R diagrams. The logical level is  
5 expressed using user views in the relational model. That is, an E-R diagram and its corresponding  
6 user view operationalize each treatment of the independent variable.

7         A single real-world domain is used in this study—the sales/collection business process of a  
8 company named TechSupport (see Appendix C). The processes and data obtained from  
9 TechSupport's operations are real, not contrived for the purpose of experimental evaluation. Thus,  
10 the experimental setting yields a high degree of realism with respect to the data subjects are asked to  
11 query. The E-R diagrams (conceptual-modeling scripts) for each treatment express similar  
12 semantics surrounding this business domain. The corresponding user views (logical-modeling  
13 scripts) are built on a common relational database copied directly from TechSupport's operational  
14 system (see Appendix D).

15         The distinction among treatments is rooted in the ontological status given to events. As  
16 discussed above, a common ontological position states that events and things are uniform in that  
17 they both have identity and properties (Sowa 1999; Tiles 1981; Brody 1980; Feibelman 1951).  
18 Another common ontological position states that events are changes in the states of things and as  
19 such, cannot have properties (Wand and Weber 1995; Bunge 1977).

20         When the ontology underlying the conceptual modeling method views things and events  
21 uniformly, both are appropriately represented using the same construct. In an E-R conceptual-  
22 modeling grammar, the *entity* construct is appropriately used to represent events as well as things. If,  
23 however, the ontology underlying the conceptual modeling method prevents events from having  
24 properties, the *entity* construct cannot be used to represent events.

1           Based on this fundamental difference in ontological perspective, three treatments were  
 2 developed: state-based, event-based, and artifact-based (Figures 3-5, respectively and Appendix D).  
 3 The *state-based* treatment conforms to an ontological position that denies properties to events.  
 4 Accordingly, each of the entities in its E-R diagram represents a thing. Events are represented by  
 5 changes in the values of attributes and relationships. Its development is consistent with traditional  
 6 data modeling methods presented in information systems curricula (e.g., Hoffer et al. 2002) and  
 7 research (e.g., Rosenthal and Reiner 1994; Teorey et al. 1986). It departs from strict adherence to  
 8 the principles of Bunge's ontology because it has optional properties (Bodart et al. 2001), which can  
 9 result in null values in the database. However, the current study includes only completed sales  
 10 orders, meaning that although null values are allowed, the database contains none.

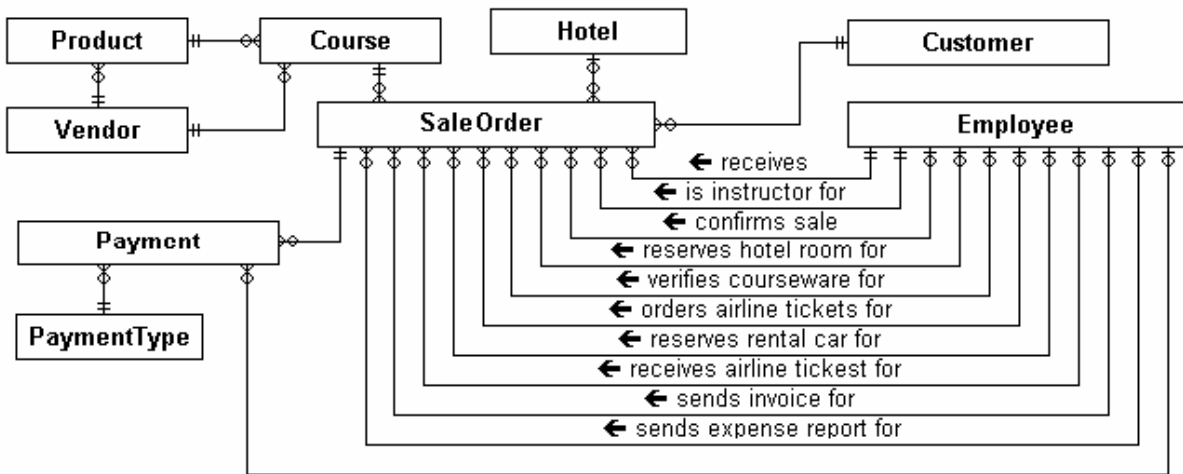


Figure 3. State-Based E-R Diagram

11  
 12           This E-R diagram is paired with a *state-based user view* of TechSupport's relational database  
 13 that corresponds directly to it (Appendix D). That is, for each entity in the diagram, there is a  
 14 relation in the user view with the same name. Each relationship in the diagram has a corresponding  
 15 primary key/foreign key pair in that user view (i.e., a *foreign key* column in one table that references  
 16 the *primary key* column in the related table). Conversely, all primary key/foreign key pairs in that

1 user view have corresponding relationships in the diagram.

2 This treatment is important because its E-R diagram is a direct mapping from the actual  
3 schema of the TechSupport database. It is the reverse-engineering equivalent to the mapping rules  
4 prescribed for constructing a normalized relational database from an E-R diagram having only  
5 binary relationships (Chiang et al. 1994).

6 The second treatment is *event-based*. Its E-R diagram is shown in Figure 4. It conforms to an  
7 ontological position that treats things and events uniformly. Hence, things and events are both  
8 represented using the entity construct. Its development is consistent with data modeling methods  
9 presented in accounting information systems curricula<sup>2</sup> (Hollander et al. 1999; Denna et al. 1993)  
10 and research (Geerts and McCarthy 2002; McCarthy 1982). As with the state-based treatment, the  
11 event-based E-R diagram is paired with an *event-based user view* of TechSupport's database. It has a  
12 similar one-to-one mapping between entities and relations and between relationships and primary  
13 key/foreign key pairs (Appendix D).

14 The event-based treatment is important because it is the extreme representation of events. The  
15 sales/collection process at TechSupport has one event that receives an order, one event that confirms the  
16 sale, one that reserves a hotel, one that orders flight tickets, one that reserves a car, one that verifies  
17 courseware, one that receives flight tickets, one that sends an invoice, and one that sends an expense  
18 report (see Appendix C). Each of these events is represented as an entity. ReceiveOrder corresponds to  
19 the entity SaleOrder in the state-based representation. Both are identified by the attribute SaleID.  
20 However, while SaleOrder contains all attributes that are functionally dependent on SaleID, ReceiveOrder  
21 only contains attributes that are relevant to that event (see Appendix D).

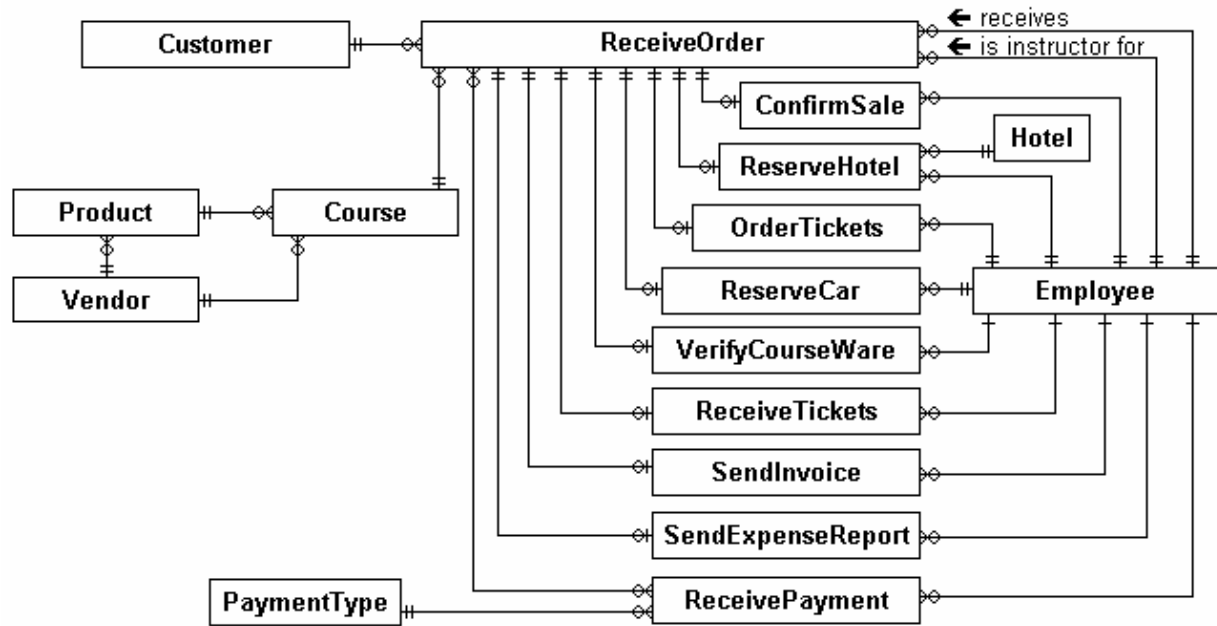
22 The additional entities in the event-based model are related one-to-one to ReceiveOrder;  
23 hence they each share its identifier, SaleID. Each has attributes and relationships that are relevant to

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<sup>2</sup> This diagram does not strictly adhere to the conventions of REA which specify the representation of the "give-take" duality that characterize relationships among economic events.

1 its respective event. VerifyCourseWare, for example, has the attributes, CoursewareHandled (how  
 2 the courseware was handled—“sent to TechSupport” or “sent to client”) and CoursewareVerified  
 3 (the date the courseware was verified to have arrived) and a relationship with the employee entity,  
 4 indicating who verified that the courseware was ready for instruction.

5



6

7 Figure 4. Event-Based E-R Diagram

8

9 We note that the influence of such diverse ontological positions in a requirements elicitation  
 10 task would likely lead to the expression of different domain semantics. However, the development  
 11 of the treatments was constrained to express the same semantics recorded in TechSupport's existing  
 12 database. This constraint is important because this study examines the effects of the independent  
 13 variable on query formulation not on requirements elicitation. Accordingly, the treatments must  
 14 differ in the *way* in which domain semantics are conveyed without being confounded by the  
 15 representation of *different* domain semantics. Hence while the event-based treatment (Figure 4) has

1 an *entity* for each event, an instance of which is created when the corresponding business activity is  
2 completed, the state-based treatment (Figure 3) has a *relationship* for each event, an instance of which  
3 is created when the corresponding business activity is completed.

4 The state-based treatment organizes information around things, while the event-based  
5 treatment organizes information around events. This difference is seen both in the clustering of  
6 attributes and in the naming conventions of the entities. A positive finding in the comparison of  
7 these two treatments would leave an important question unanswered. Is the treatment effect a result  
8 of clustering attributes around events or is it a result of linguistic choices in the naming of entities  
9 (“Payment” vs. “ReceivePayment”)? To help answer this question, we developed a third treatment,  
10 which we term *artifact-based*. It is state-based in the sense that the entities in the diagram are named  
11 after things; however, it is event-based in the sense that the things around which attributes are  
12 clustered are organizational forms and documents (artifacts) used to record data about events<sup>3</sup>. The  
13 performance of subjects presented with this treatment will help us understand if any treatment effect  
14 between the event-based treatment and state-based treatment is linguistic or structural or a  
15 combination of both.

16 The E-R diagram for the artifact-based treatment is shown in Figure 5. It has a  
17 corresponding *artifact-based user view* (Appendix D). It captures the common practice of constructing  
18 entities for named artifacts (forms and documents). Organizations often create such artifacts to give  
19 visibility and prominence to abstractions such as events that are important elements of business  
20 processes.

21 While this treatment is constructed to record data about events, it differs from the event-  
22 based treatment in several important ways. First, the naming convention is document-focused.  
23 Entities are named for the documents used in the organization and do not specifically convey events

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<sup>3</sup> Note that these would not be considered "things" in Bunge's ontology (Wand and Weber, 2002).

1 that can be composed into a narrative. Second, not all events have corresponding documents and  
 2 organizations may record several events on the same document. In fact, this treatment has only one  
 3 entity that directly corresponds to an event, Courseware, corresponding to the event Verify  
 4 Courseware. It has three entities that correspond to combined events. Paperwork corresponds to  
 5 the combination of Confirm Sale, Send Invoice, and Send Expense Report; Reservation corresponds  
 6 to the combination of Reserve Hotel and Reserve Car; Ticket corresponds to the combination of  
 7 Order Tickets and Receive Tickets. It forms a middle ground between the state-based and event-  
 8 based treatments with respect to complexity and to the representation of events.

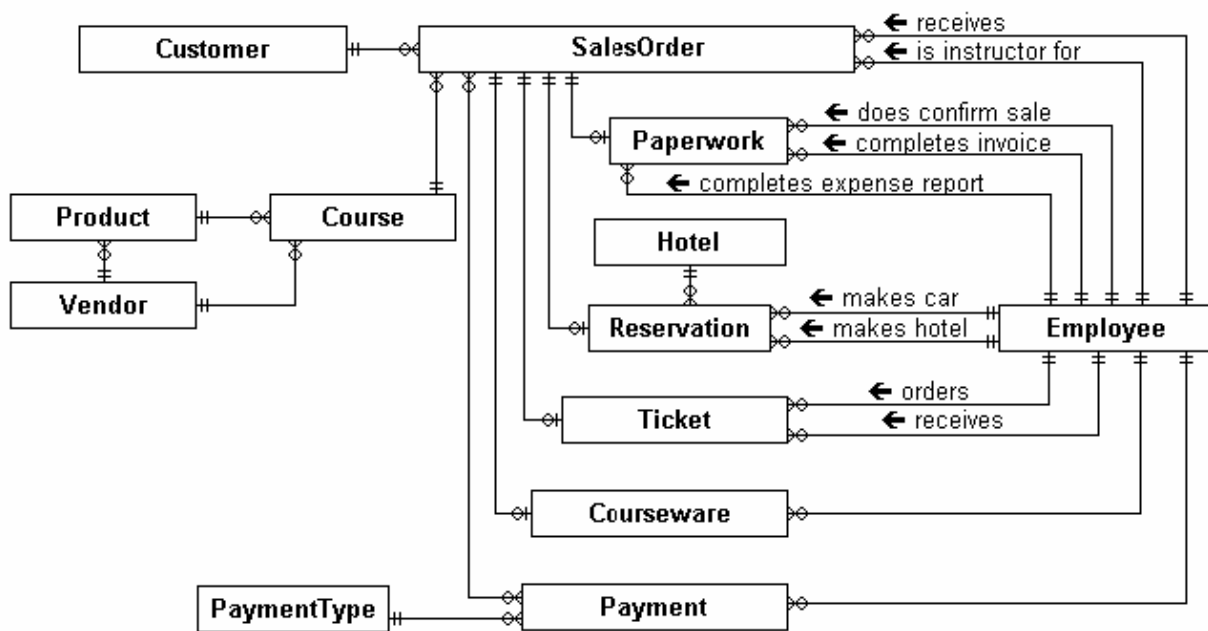


Figure 5. Artifact-Based E-R Diagram

### Research Procedures

A one-factor between-subjects experiment was conducted to investigate the effects of the independent variable (ontological foundation) on the dependent variable (query performance).

1 Because the experiment requires subjects to read and interpret an E-R diagram, the potential for the  
2 researchers to create a training bias in the subjects is a significant threat to validity. Accordingly, the  
3 researchers were not involved with the training of subjects. Moreover, it was decided that the  
4 subject pool should be drawn from several different educational backgrounds to reduce the  
5 likelihood that subjects' pre-experiment training unduly favored any treatment.

6 To meet these constraints, an instrument was constructed to conduct the experiment via the  
7 Internet. The instrument was built using a combination of HTML, Active Server Pages, and  
8 JavaScript. A pilot study involving 36 subjects was conducted to test the instrument and  
9 refinements were made prior to conducting the experiment.

10 Subjects were recruited from information systems programs at six universities in North  
11 America and Europe and randomly assigned to treatments. Subjects were either enrolled in an  
12 introductory database management course, or in a course for which database management was a  
13 prerequisite. Course extra-credit was given for participation and 342 subjects produced useable  
14 results. In an exit survey, subjects disclosed their approximate age, ethnic background, gender,  
15 academic major, comfort level in reading E-R diagrams, and comfort level in writing SQL queries.  
16 An analysis of the subject assignment showed no systematic bias in any treatment group on any of  
17 these measures. *Comfort in reading E-R diagrams* and *comfort in writing SQL queries* were considered as  
18 covariates. Because they held substantial correlation (coefficient of correlation 0.56) comfort in  
19 reading E-R diagrams was selected to serve as the model's covariate.

20 All subjects used the same instrument. It provides a textual description of the TechSupport  
21 business activity (Appendix C), an E-R diagram and user view corresponding to one treatment, a  
22 place to formulate SQL queries and execute them against their user view, a place to see the results of  
23 their queries when executed (or error messages for syntactically incorrect queries), a help system that  
24 includes information about the conceptual-modeling grammar used in the study as well as help on

1 SQL syntax, and a set of information requests which define the experimental task (Appendix E).

2 The information requests were developed so as not to favor one treatment over another.

3 Although it is possible to write an information request that requires subjects in one treatment to

4 formulate a more complex query than subjects in another treatment (e.g., by requiring additional

5 joins), all were composed to ensure a similar level of difficulty for all treatments. They were

6 presented to three experts in database management, each an author of a different collegiate database

7 management textbook. These authors were asked if subjects in one treatment would have an

8 advantage over subjects in other treatments in building queries to answer the information requests.

9 They saw no treatment advantage for any information request. Examples of correct queries for each

10 treatment are shown in Appendix E.

11 Subjects were given the URL for the study and an access code and asked to formulate an

12 SQL query for each of the information requests at a time and place of their choosing. They could

13 stop the task and start again where they left off at their discretion. They could view the E-R

14 diagram appropriate for their treatment, go back to the textual description, execute trial queries, and

15 view help for SQL syntax. When subjects clicked on one of the entities in their E-R diagram, they

16 were presented with the logical description of the relation corresponding to that entity, including a

17 description of the data held in each of the attributes (see Appendix D). When subjects were satisfied

18 that their SQL query appropriately fulfilled an information request they indicated their confidence in

19 the accuracy of their answer on a one to five scale. All queries and corresponding confidence

20 assessments were stored for automated analysis. Subjects could freely refer to different parts of the

21 instrument and could change both the queries they submitted and their confidence in the accuracy

22 of those queries.

23 The realism of enabling subjects to explore the database, execute their queries, see the results

24 and iteratively revise and re-execute their queries is important. Prior studies that focus on

1 constructing SQL queries without this iterative ability miss a significant component of the human  
 2 cognitive processes required to effectively utilize data resources. This is well evidenced in our log  
 3 files. Subjects commonly examined the data in individual tables while constructing multi-table  
 4 queries and frequently revised a query based on the results obtained from an initial attempt.

5 **RESULTS**

6 An analysis of variance (ANOVA) test of significance was conducted (Table 1). In addition  
 7 to using the treatment as a predictor, subjects' self-reported comfort level in reading E-R diagrams  
 8 was used as a model covariate. This analysis did not indicate a significant treatment effect on query  
 9 accuracy (H1). In fact, the mean percentage of semantic elements identified (semantic correctness)  
 10 ranges only from 87 percent to 88 percent across all treatments. Further, the results showed no  
 11 treatment effect on the confidence users expressed regarding the accuracy of their queries (H2). The  
 12 average confidence ranged only from 3.90 to 4.02 on a five-point scale. However, the results  
 13 indicated that the treatment did have a significant effect on prediction of accuracy (H3).

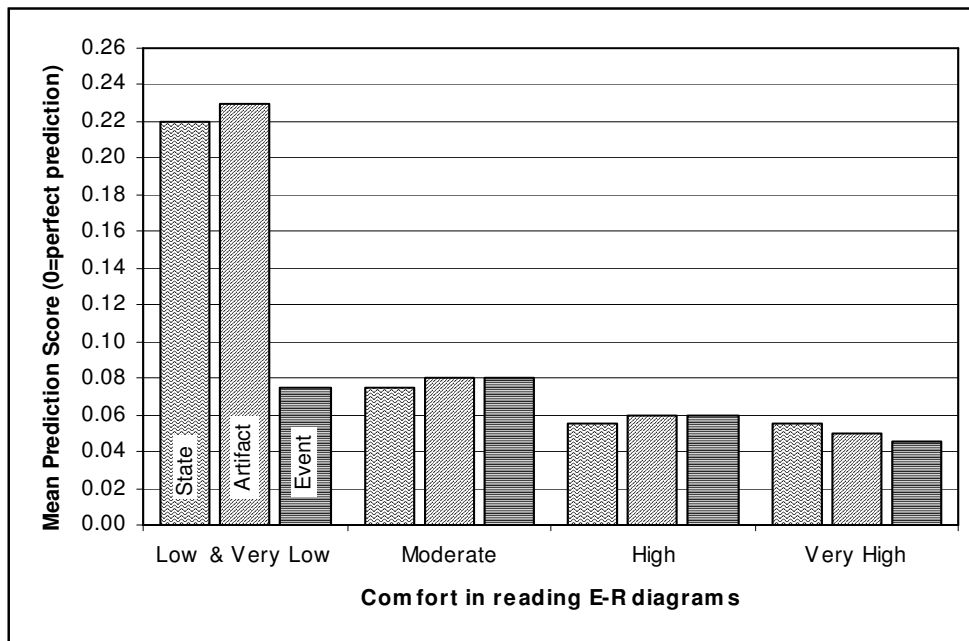
Hypotheses	Treatment Mean <sup>1</sup>			ANOVA P-values					
	S	E	A	Treatment	Covariate	Interaction	Pairwise Mean Comparisons		
							S-E	S-A	E-A
H1: Accuracy <sup>2</sup>	0.88	0.88	0.87	0.2592	< 0.0001**	0.1775			
H2: Confidence <sup>3</sup>	4.02	4.01	3.90	0.1296	< 0.0001**	0.1994			
H3: Prediction <sup>4</sup>	0.065	0.058	0.071	0.0076**	< 0.0001**	0.0176*	0.0210*	0.6394	0.0041**

\* significant at alpha = 0.05    \*\* significant at alpha = 0.01  
<sup>1</sup>S = State Based, E = Event Based, A = Artifact Based  
<sup>2</sup>average across queries, maximum is 1.  
<sup>3</sup>Confidence is measured as a five-point, self-reported Likert variable, averaged across queries.  
<sup>4</sup>Prediction of accuracy is measured using Mean Prediction Score, 0 equals perfect prediction

**Table 1. Experimental Results**

14 Noting that 0 is a perfect score for prediction of accuracy the event-based treatment  
 15 exhibited the best score (.058); the artifact-based treatment exhibited the worst score (.071); and the  
 16 state-based treatment exhibited a score between them (.065). The p-values for the pairwise mean  
 17 comparisons among treatments indicate that there is a significant difference in subjects' prediction

1 of accuracy between the state-based treatment and the event-based treatment ( $p=0.0210$ ) and  
 2 between the event-based treatment and the artifact-based treatment ( $p=0.0041$ ). However, they do  
 3 not indicate a significant difference between the state-based treatment and the artifact-based  
 4 treatment ( $p=0.6394$ ).



**Figure 6:** Interaction between *comfort in reading E-R diagrams* and *treatment* on prediction of accuracy

5  
 6 For this finding, the interaction term between the predictor variable and the covariate,  
 7 comfort level with reading E-R diagrams, is also significant (0.0176). This indicates that the effect of  
 8 the treatment is different for various levels of the covariate. Figure 6 shows the interaction chart for  
 9 the three treatments and various levels of comfort in reading E-R diagrams on subjects' prediction  
 10 of accuracy. In general, as subjects' comfort in reading E-R diagrams increases, so does their  
 11 prediction of accuracy (i.e., the mean prediction score decreases).

12 For subjects expressing low or very low comfort in reading E-R diagrams, their prediction of  
 13 accuracy was worse (i.e., the mean prediction score was higher) than for subjects expressing  
 14 moderate, high, or very high comfort in reading E-R diagrams for the state-based and the artifact-

1 based treatments. However, for the event-based treatment, the prediction of accuracy was about the  
 2 same for all subjects independent of expressed comfort in reading E-R diagrams.

3 Because no significant treatment effect was found for hypothesis 2 (confidence), we  
 4 conducted a post-hoc analysis of its corollary: did the treatment have an effect on how long subjects  
 5 spent to achieve the confidence level at which they were comfortable moving on? This question was  
 6 examined in a similar manner to the tests of hypotheses (Table 2) using data from system log files.

Post-hoc analysis	Treatment Mean <sup>1</sup>			ANOVA P-values				
	S	E	A	Treatment	Covariate	Pairwise Mean Comparisons		
						S-E	S-A	E-A
Total time spent on experiment	86.2	81.1	72.3	0.0185*	0.6314	0.3850	0.0061**	0.0548
Time spent on semantics <sup>2</sup>	54.0	51.8	46.3	0.0312*	0.8021	0.7429	0.0166*	0.0343*
Time Spent on queries 3 - 7	14.2	10.6	9.8	<0.0001**	0.9073	0.0004**	<0.0001**	0.4329

\* significant at alpha = 0.05 \*\* significant at alpha = 0.01

<sup>1</sup>Measured in minutes, S = State Based, E = Event Based, A = Artifact Based

<sup>2</sup>Time subjects spent viewing data representation, viewing the field structure of underlying tables, and reading the textual description of the business process.

**Table 2. Analysis of Treatment Effect on Time Spent**

7 The system log files contain a time stamped entry for each action of each subject. This  
 8 action-time log provides the ability to tell when and for how long each page of the instrument was  
 9 displayed. However, it cannot tell how long subjects were actually engaged with the displayed page.  
 10 To control for the possibility of subjects leaving the instrument for several minutes, page-view times  
 11 were truncated at three minutes. This threshold was chosen after observing additional individuals  
 12 complete the experimental task in a laboratory setting. Under these conditions, no subject engaged  
 13 any page view for more than two consecutive minutes (returning to the same page after visiting  
 14 another page is logged as a different page-view). Recognizing differences between laboratory and  
 15 experimental settings, three minutes was deemed appropriate. The analysis of time spent was  
 16 conducted with various thresholds between two and ten minutes without a material difference in the  
 17 results; 97 percent of the page-view intervals were shorter than two minutes. Accordingly, the

1 means reported in Table 2 are not affected by long periods of user inactivity.

2           The results shown in Table 2 indicate that the treatment had a significant effect on the  
3 overall time subjects spent completing the experiment ( $p=0.0185$ ) but the covariate, comfort level in  
4 reading E-R diagrams, did not ( $p=0.6314$ ). The average time spent by subjects in the study was  
5 highest for the state-based treatment (86.2 minutes) and lowest for the artifact-based treatment (72.3  
6 minutes). However, the variation is such that the only significant contrast is between the state-based  
7 and artifact-based treatments ( $p=0.0061$ ). We further segmented the time subjects spent into those  
8 activities directly related to gaining an understanding of semantics from all other activities in the  
9 experiment. To calculate the time spent on semantics, we summed the time a subject spent showing  
10 the E-R diagram, the time spent showing the names and descriptions of fields in the database  
11 schema, and the time spent showing the textual description of TechSupport's business process.  
12 Other activities such as looking in help, viewing error messages, viewing the results of a query, or  
13 reading information requests were likely working on understanding syntax, administrative activities  
14 (as required by the experimental instrument), or in the process of verifying the reasonableness of the  
15 query results for the information request. As such, they were considered to be activities less directly  
16 indicative of subjects engaging in sense-making processes.

17           Examination of time spent on semantics indicates a significant treatment effect ( $p=0.0312$ ).  
18 The comparison between treatments indicates no significant difference between the state-based and  
19 event-based treatments ( $p=0.7429$ ). It indicates a significant difference between the other pairs: the  
20 artifact-based treatment is significantly less than both state-based (46.3 minutes compared to 54.0  
21 minutes;  $p=0.0166$ ) and event-based treatments (51.8 minutes;  $p=0.0343$ ). Finally, the amount of  
22 time spent on queries three through seven was considered. The first two queries deal exclusively  
23 with portions of the E-R diagrams and database schemata that were identical across treatments.  
24 They were positioned at the beginning of the experiment to allow subjects to become comfortable

1 with the instrument before beginning the portion of the experiment that was directly affected by the  
2 treatments. For these queries the treatment effect is significant ( $p < .0001$ ). The p-values for the  
3 pairwise mean comparison among treatments indicate no significant difference between the event-  
4 based and artifact based treatments (10.6 minutes and 9.8 minute, respectively;  $p = 0.4329$ ).  
5 However, each is significantly lower than the state-based treatment (14.2 minutes;  $p = 0.0004$  and  
6  $p < 0.0001$ , respectively).

## 7 **DISCUSSION AND CONCLUSION**

8 This study found no significant difference among treatments for hypothesis 1 (accuracy).  
9 This hypothesis called for the treatments to be examined in aggregate over each of the seven  
10 information tasks. A post-hoc analysis of the accuracy of subjects' queries was conducted for each  
11 information task individually. Like the aggregate results, there were no significant differences in  
12 accuracy across treatments for any of them. The statistical power of these tests is not sufficient to  
13 conclude that a treatment effect does not exist, rather that we were unable to observe one.

14 One possible explanation for this result is the complexity of the diagrams. The state-based  
15 treatment is least complex (9 entities; 19 relationships), the event-based treatment is most complex  
16 (17 entities; 27 relationships), and the artifact-based treatment is between them (13 entities; 23  
17 relationships). It may be that the cognitive benefits of the event-based treatment were able to offset  
18 the effects of increased complexity but could not overcome them. In our study, several of the  
19 events of the business process had the characteristic of being related to each other in a one-to-one  
20 fashion, thus enabling them to be represented by a single entity in the state-based treatment.  
21 Although this may be a common characteristic of diagrams produced using an event-based  
22 conceptual-modeling method, it is not a universal one. Thus it is possible that event-based E-R  
23 diagrams of equal complexity to similar state-based E-R diagrams would lead to superior accuracy in  
24 query formulation tasks. Beyond the complexity issue, there are several other possible reasons for

1 lack of support for hypothesis 1.

2           In the ad-hoc query formulation process, our treatments should only affect the mapping of  
3 terms in the information request to elements of the database schema. Hypothesis 1 relies on the  
4 ability of the treatments to provide different levels of understanding of the underlying content of the  
5 database. Although our study references a business process with which subjects likely had little or  
6 no experience, it uses a straightforward implementation of a business' sales/collection process. It is  
7 possible (even likely) that subjects were able to effectively apply what they knew about other  
8 sales/collection processes to the experimental task in such a way that they were easily able to make  
9 sense of the database schema independent of the treatment. Like the narrative sense-making  
10 competency, the transfer of learning from one context to another distinct, yet similar, context is  
11 thought to be a fundamental component of human cognitive processes (Shepard 1987).

12           Another possible explanation for not finding support for hypothesis 1 may stem from the  
13 information requests used in the study. For a treatment effect to be observed, subjects would need  
14 to engage their sense-making capabilities in the experimental task. If information requests were  
15 worded in such a way that subjects could reasonably translate them into table and column names  
16 without needing to grasp the underlying semantics, then the treatments would not be expected to  
17 have differential effects. Subjects may have performed a lexical mapping from terms in the  
18 information requests to the names of entities, attributes, and relationships (tables and columns) in  
19 the data dictionary (Appendix D) without needing to engage their sense-making capabilities.

20 Although this possibility was considered in the formulation of the information requests, the ability  
21 of subjects to accomplish lexical mappings without domain understanding may have been  
22 underestimated. One area of potential future research is to examine the performance of writers of  
23 ad-hoc queries under conditions of context-free information requests, familiar-context information  
24 requests, and counterintuitive information requests. This kind of study would further the

1 understanding of balances and tradeoffs between lexical mapping and domain understanding.

2 We examined several measures of the processes subjects used to complete the experimental  
3 task to see if there was some other indication of significant differences among the treatments. We  
4 studied how long subjects spent in different parts of the experimental instrument, how many queries  
5 they tested as they worked to complete the task, and the number of times they switched among the  
6 different pages of the instrument. The analysis of time spent gave some interesting insights, and is  
7 discussed below. However, all other analyses of process showed no significant treatment effects.

8 This study found no significant difference among treatments for hypothesis 2 (confidence).  
9 We predicted higher confidence for the event-based treatment based on the reasoning that (1)  
10 although the experiment was not time constrained, competing demands on subjects' time would  
11 compel them to move on from answering an information request before they reached complete  
12 confidence in their response and (2) if the event-based treatment resulted in a deeper understanding  
13 of the database schema then subjects in this treatment would reach higher confidence before time  
14 constraints compelled them to move on.

15 When we found no significant difference in confidence expressed, we examined how long  
16 subjects took to achieve that level of confidence. The results of the post-hoc analysis of time spent  
17 (Table 2) indicate that considering (1) time spent on the experiment, (2) time spent on semantics,  
18 and (3) time spent on queries three through seven that the state-based treatment is always dominated  
19 by a treatment that gives prominence to events, either by their direct representation or by the  
20 representation of their associated artifacts. For two of the three time elements examined, the  
21 artifact-based treatment produced significantly better performance than did the event-based  
22 treatment. We conjecture that this result is due to the increased complexity of the event-based  
23 treatment compared to the artifact-based treatment. However, when isolating the comparison to  
24 just those queries that were directly affected by the treatment, the event-based and the artifact-based

1 treatments both lead to superior performance as compared to the state-based treatment, and did not  
2 lead to significantly different performance as compared to each other.

3         The analysis of time spent on queries three through seven is interesting for three reasons.  
4 First, it shows that the vast majority of the total time spent (84 to 88 percent) was dedicated to  
5 subjects gaining an understanding of the instrument, task, and data models well enough to complete  
6 the first two queries. Second, once that initial level of understanding was attained, the treatment  
7 effect clearly shows a reduction in time spent formulating queries when events are represented—  
8 either directly or through their associated artifacts. This suggests that the additional complexity  
9 exhibited by the event-based treatment over the state-based treatment negatively affects time spent  
10 initially understanding the models but that the added complexity matters less as users become  
11 familiar with them. Furthermore, even though the state-based treatment exhibited the least  
12 complexity, its subjects took more time to complete this segment of the study, indicating that once  
13 users gain some familiarity with the diagrams, the treatment effect is strong enough to overcome the  
14 complexity effect. This finding is not dependent on subjects' comfort level in reading E-R diagrams,  
15 but holds true for all comfort levels. Third, this finding suggests that the linguistic effect of naming  
16 entities to represent business events is of little consequence. Whether an entity was named as a  
17 noun i.e. “payment” or “courseware” or it is named as a verb, i.e., “receive payment” or “verify  
18 courseware” seems to be not nearly as important in the sense-making process as the fact that  
19 information pertaining to business events is given prominence in the model.

20         A related factor that may have contributed to the lack of support for hypothesis 2 is found  
21 in the manner in which the experiment was administered. Since subjects from six different  
22 universities in North America and Europe participated in the experiment, setting up similar  
23 laboratory conditions for all subjects was improbable. Instead, subjects completed the experiment  
24 through the web interface at a time and place of their convenience. Although this reduced the

1 control of the experimental setting, it was deemed an acceptable tradeoff against the potential of  
2 introducing a systematic bias through differing laboratory settings. The result is that subjects were  
3 able to trade time spent on the experiment for other activities. This gives some insight into the  
4 process of ad-hoc query formulation. Although the above analysis leads us to believe that the  
5 hypothesis of higher confidence in event-based treatments would be supported in a time-  
6 constrained study, this finding would have little meaning for the business environment because such  
7 time constraints are artificial. It seems that query writers will take the time needed to reach a  
8 confidence level with which they are comfortable—but that they reach that comfort level more  
9 quickly working with representations in which events are expressly represented.

10 Hypothesis 3 (prediction) was supported for the class of subjects who reported low or very  
11 low levels of comfort in reading E-R diagrams. Such subjects in the event-based treatment  
12 expressed confidence that better predicted the accuracy of their queries than did such subjects in the  
13 state-based or artifact-based treatments. This finding is made all the more compelling by the lack of  
14 support for hypotheses 1 and 2. If significant treatment effects were found either for accuracy or  
15 for confidence, there would be some question as to whether support for hypothesis 3 is due to the  
16 treatment or is simply a result of higher confidence or improved accuracy. However, when subjects  
17 demonstrate similar accuracy and confidence and still demonstrate superior prediction of accuracy,  
18 there can be no question that the treatment holds a direct effect.

19 This finding is consistent with prior work examining the connection between human  
20 cognition and the use of conceptual database schema. Ramesh and Browne (1999) found that  
21 causation was better expressed by individuals with little or no exposure to data modeling principles  
22 than by individuals with prior exposure. Although their study examined deficiencies in the E-R  
23 model for representing causality, their finding is of particular interest because, like the current study,  
24 it shows that human information processing competencies surrounding causality have particular

1 importance for individuals with little database experience.

2           Hence we conclude that an event-based E-R diagram can lead casual users to more  
3 accurately recognize when queries they have formulated are correct. Failure to accurately recognize  
4 when queries are accurately formulated could lead to significant judgmental errors and improper  
5 decisions. Because we evaluate this effect using a new measure (mean prediction score), more  
6 research is needed to understand how its assessment relates to user behavior in real-world settings.

7           We further conclude that the use of E-R diagrams and corresponding user views that give  
8 prominence to some representation of events allow users to more quickly formulate queries without  
9 sacrificing accuracy or confidence. These findings are particularly compelling in a business  
10 environment where managers seek to make sense of transaction (event) data through data mining  
11 and business intelligence interfaces. In such environments, E-R diagrams are frequently used to  
12 communicate the structure of organizational data to end-users (MicroStrategy 2003).

13           It should be pointed out that none of the subjects expressly received training in event-based  
14 conceptual-modeling methods. A question that arises is, "Can we develop strong methods for  
15 reading graphical data models that leverage the human competency for processing events and  
16 narratives?" This is an area that merits further research. Moreover, this experiment was conducted  
17 using event, state, and artifact-based data models that are nearly logically equivalent. Although it is  
18 possible to model temporal semantics in such a way that an event-based data model and a state-  
19 based data model will convey nearly identical information, it is not likely that the natural application  
20 of these two ontologically diverse methods will yield data models that equivalently represent a given  
21 domain. That is, when an analyst models a domain using a conceptual modeling method that treats  
22 things and events uniformly, allowing events to have attributes, he or she will likely record different  
23 semantics than will an analyst using a method that treats things and events differentially, not  
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## 27 **Appendix A. Semantic Correctness Calculation**

28 Consider information request number three from the experimental task (Appendix E):

29 Show the car rental agency, the date the car reservation was made and the last  
30 name of the employee who made the car reservation for all car reservations  
31 made before 3/4/95.

32  
33 A query that correctly satisfies this request for the state-based treatment (Figure 3) is:

```
34 select carrentalagency, carreserved, lastname
35 from saleorder s
36 join employee e on e.employeeid=s.reservecaremployeeid
37 where carreserved < '3/4/95'
```

1  
2 A query that has several semantic errors appears below:

```
3     select carreserved, lastname  
4     from saleorder s  
5     join employee e on e.employeeid=s.instructorid  
6     where carreserved > '3/4/95'
```

7  
8 This query has three errors. First, it selects car reservations made after 3/4/95 not before. Second,  
9 it omits one of the three required attributes (car rental agency). Third, the join uses an incorrect  
10 foreign key. Only the latter two are relevant to the experimental manipulations. Although the  
11 misuse of the greater than sign is a semantic error, it is not involved in the mapping from subject's  
12 conceptualization of the domain to the related constructs in the data model and thus it is not  
13 considered to be relevant to the study.

14 Thus the semantic correctness of this query is evaluated as follows. There are seven required  
15 semantic elements, three attributes (carrentalagency, carreserved, lastname), two relations (saleorder,  
16 employee), and two keys (employeeid, reservecaremployeeid). The query identified five of the seven,  
17 so it has a semantic correctness score of 5/7 or 0.71.

18  
19

## 20 **Appendix B. Calculation of Mean Prediction Score**

21 Mean Prediction Score is a simple modification of the Mean Probability Score (Yates 1990) to allow  
22 prediction of a continuous (rather than a dichotomous) variable. In calculating both, an arbitrary  
23 number of predictions are made, each with a stated confidence. For Mean Probability Score, each  
24 prediction is either correct or incorrect, thus the outcome is coded as either one or zero. For Mean  
25 Prediction Score, the outcome variable is the percent correct, and thus is coded anywhere from one  
26 to zero. The simple form of an individual prediction is as follows:

1 Prediction Score = (prediction confidence – semantic correctness)<sup>2</sup>

2 In the current study, the five-point Likert scale used to collect confidence was coded according to  
3 the following table:

4	very high	1.00
5	high	0.75
6	medium	0.50
	low	0.25
	very low	0.00

7 Thus, if a subject expressed high confidence in the accuracy of a query and identified all necessary  
8 semantic elements for a correct query, prediction score for that query would be calculated as follows:

9 Prediction Score =  $(0.75 - 1)^2 = 0.0625$

10 If a subject expressed very high confidence in the accuracy of a query and identified 7 of 8 necessary  
11 semantic elements for a correct query, prediction score for that query would be calculated as follows:

12 Prediction Score =  $(1 - 0.875)^2 = 0.0156$

13 Mean Prediction score is simply the average of the Prediction Scores for each query.

14

15

## 16 **Appendix C: Narrative Description Of Techsupport Business Process**

17 TechSupport is a computer training company located in Salt Lake City, Utah. The company  
18 hires instructors and then contracts their services with other training companies. The database you  
19 will be working with is used to record the training activities of TechSupport.

20 When a client of TechSupport requests the services of an instructor, several steps need to be  
21 taken to ensure that the instructor will be at the client's location to deliver the requested training.  
22 Following is an example of the process used to organize the necessary arrangements for an  
23 instructor's trip to deliver a specific course.

24 Cathy answered the phone. It was Kevin from Executrain of Sacramento requesting an  
25 instructor to teach the three-day Microsoft course on developing applications with Visual Basic.  
26 Executrain of Sacramento needed the class to be delivered in three weeks and Kevin hoped Stewart  
27 (the instructor who taught the class last time) would be available again. Cathy checked Stewart's  
28 schedule and indicated that he was available and that she would make the necessary arrangements to  
29 have Stewart in Sacramento to teach the class as requested. After the call Cathy prepared the  
30 paperwork confirming the order and faxed it to Kevin who signed it and faxed it back within an  
31 hour.

32 Now that the sale was complete, she began making the necessary arrangements. As usual,  
33 Gordon (her assistant) helped with the details. While Gordon called a travel agent to make

1 arrangements for Stewart's Airline ticket, Cathy placed an order with Microsoft to have the  
2 courseware delivered to Executrain of Sacramento's training facility. For the next three or four days,  
3 Cathy and Gordon worked to make sure that everything was in place for Stewart's trip (as they did  
4 for the many other trips which were currently planned for other instructors). Gordon called Stewart  
5 to make sure that he received his airline tickets and he also called Kevin at Executrain of Sacramento  
6 to make sure that Microsoft sent the correct manuals. Cathy made the arrangements for a hotel and  
7 rental car.

8 Everything went smoothly. Stewart taught the class in Sacramento and received high reviews  
9 from the students (as usual). As soon as the class was over, Cathy mailed an invoice for the price of  
10 instruction. When Stewart returned home, he submitted his expense report. Gordon forwarded the  
11 report to Executrain of Sacramento. After about three weeks a check came in the mail for the  
12 training and about a week later, a check to reimburse expenses. This is the process by which  
13 TechSupport conducts its business.  
14

## 15

### 16 **Appendix D. Relational Schemata Used in Experimental Treatments.**

17 A combination of virtual tables and base tables implemented in Microsoft SQL Server comprise the  
18 logical implementation of each treatment. Virtual tables are defined using a create view statement.

19 For example the virtual table ConfirmSale available only in the event-based treatment is defined  
20 from the SaleOrder table as follows:

```
21 CREATE VIEW ConfirmSale as  
22 SELECT ConfirmationSent, ConfirmationEmployeeID, SaleID  
23 FROM SaleOrder;
```

24  
25 Only subjects in the event-based treatment can reference this virtual table. Furthermore, as  
26 indicated below, subjects in the event-based treatment cannot reference the SaleOrder table as it is  
27 only available to subjects in the state-based treatment.

28 To illustrate how the same information is presented differently across treatments, consider  
29 car reservations (see Information Request 3 in Appendix E). The data items describing this  
30 phenomenon are: the car rental agency, the date the car was reserved, and the employee who made  
31 the reservation (number and name). They are presented in each treatment as follows.  
32

State-Based Treatment	Event-Based Treatment	Artifact-Based Treatment
SaleOrder (table) CarRentalAgency CarReserved ReserveCarEmployeeID	ReserveCar (virtual table) CarRentalAgency CarReserved EmployeeID	Reservation (virtual table) CarRentalAgency CarReserved CarReservedEmployeeID
Employee (table) EmployeeID LastName	Employee (table) EmployeeID LastName	Employee (table) EmployeeID LastName

1  
2 The following relational schema details the underlying data structures used for each  
3 treatment. Primary keys are underlined. Table and virtual table names correspond directly to entity  
4 names in each treatment's conceptual-modeling script (E-R diagram). Each subject had access only  
5 to the tables and virtual tables that pertained to his or her treatment. Field names and descriptions  
6 were presented to subjects when they clicked on the corresponding entity in the E-R diagram as  
7 illustrated below for the Course table (available in all treatments).

Course Table	202 records
CertNoReq	Reference to a table not included in this database.
CourseID	Unique Identifier (Primary Key)
Days	The number of days it takes to teach the course
Name	The name of the Course
Price	Current list price for the course
ProductID	Reference to the Product table. Identifies the product the course is about
VendorCourseNumber	Identifier that the Vendor uses for this course
VendorID	Reference to the Vendor table. Identifies the company who produced the courseware

8  
9  
10 **Tables and Virtual Tables Available in All Treatments**  
11 Course (CertNoReq, CourseID, Days, Name, Price, ProductID, VendorCourseNumber, VendorID)  
12 Table, 202 records  
13 Customer (Address1, Address2, City, CustomerID, Fax, Name, Phone, PrincipleContact, RegionID,  
14 State, UsePerDiem, Zip) Table, 94 records  
15 Employee (Address, City, EmployeeID, FirstName, LastName, Phone, State, Title, Zip)  
16 Table, 30 records  
17 Hotel (Address1, Address2, City, HotelID, HotelName, Phone, State, Zip) Table, 85 records  
18 PaymentType (PaymentDescription, PaymentTypeID) Table, 3 records  
19 Product (GroupID, Name, ProductID, VendorID) Table, 54 records  
20 Vendor (Name, Phone, VendorID) Table, 19 records

21  
22 **Tables and Virtual Tables Available Only in the State-Based Treatment**  
23 Payment (Amount, Date, EmployeeID, PayTypeID, PaymentID, SaleID) Table, 797 records  
24 SaleOrder (AirTicketsReceived, BeginDate, CarConfirmation, CarRentalAgency, CarReserved,  
25 CarType, ConfirmationEmployeeID, ConfirmationSent, Contact, CourseID,

1 CourseVerifiedEmployeeID, CoursewareHandled, CoursewareVerified, CustomerID,  
2 ExpenseReportEmployeeID, ExpenseReportSent, HotelConfirmation, HotelID,  
3 HotelReserved, InstructorID, InvoiceEmployeeID, InvoiceSent, OrderTakingEmployeeID,  
4 OrderTicketsEmployeeID, Price, ReserveCarEmployeeID, ReserveHotelEmployeeID,  
5 SaleDate, SaleID, TicketsOrdered, TicketsRecdEmployeeID) Table, 610 records  
6

7 **Tables and Virtual Tables Available Only in the Event-Based Treatment**

8 ConfirmSale (ConfirmationSent, EmployeeID, SaleID) Virtual Table, 610 records  
9 OrderTickets (EmployeeID, SaleID, TicketsOrdered) Virtual Table, 610 records  
10 ReceiveOrder (BeginDate, Contact, CourseID, CustomerID, InstructorID,  
11 OrderTakingEmployeeID, Price, SaleDate, SaleID) Virtual Table, 610 records  
12 ReceivePayment (Amount, Date, EmployeeID, PayTypeID, ReceivePaymentID, SaleID)  
13 Virtual Table, 797 records  
14 ReceiveTickets (AirTicketsReceived, EmployeeID, SaleID) Virtual Table, 610 records  
15 ReserveCar (CarConfirmation, CarRentalAgency, carReserved, CarType, EmployeeID, SaleID)  
16 Virtual Table, 610 records  
17 ReserveHotel (EmployeeID, HotelConfirmation, HotelID, HotelReserved, SaleID)  
18 Virtual Table, 610 records  
19 SendExpenseReport (EmployeeID, ExpenseReportSent, SaleID) Virtual Table, 610 records  
20 SendInvoice (employeeID, InvoiceSent, SaleID) Virtual Table, 610 records  
21 VerifyCourseware (CoursewareHandled, CoursewareVerified, EmployeeID, SaleID)  
22 Virtual Table, 610 records  
23

24 **Tables and Virtual Tables Available Only in the Artifact-Based Treatment**

25 Courseware (CoursewareHandled, CoursewareVerified, EmployeeID, SaleID) Virtual Table, 610 records  
26 PaperWork (ConfirmationEmployeeID, ConfirmationSent, ExpenseReportEmployeeID,  
27 ExpenseReportSent, InvoiceEmployeeID, InvoiceSent, SaleID) Virtual Table, 610 records  
28 Payment (Amount, Date, EmployeeID, PayTypeID, PaymentID, SaleID) Table, 797 records  
29 Reservation (CarConfirmation, CarRentalAgency, CarReserved, CarReservedEmployeeID, CarType,  
30 HotelConfirmation, HotelID, HotelReserved, ReserveHotelEmployeeID, SaleID)  
31 Virtual Table, 610 records  
32 SalesOrder (BeginDate, Contact, CourseID, CustomerID, InstructorID, OrderTakingEmployeeID,  
33 Price, SaleDate, SaleID) Virtual Table, 610 records  
34 Ticket (AirTicketsReceived, OrderTicketsEmployeeID, SaleID, TicketsOrdered,  
35 TicketsRecdEmployeeID) Virtual Table, 610 records

## Appendix E. Information Requests for Query Formulation Task

1. List the name and telephone number of all customers in the state of Colorado ("CO"). Sort the list alphabetically by name.
2. List the Microsoft products for which TechSupport offers courses. Show product name and course name.
3. Show the car rental Agency, the date the car reservation was made and the last name of the employee who made the reservation for all car reservations made before 3/4/95
4. List the first and last names of employees who have made hotel reservations at the Holiday Inn. Also show the date the reservation was made and the city in which the particular Holiday Inn is located.
5. Show the date on which the courseware for saleID 305 was verified.
6. What is the total amount of money received from Executrain of Atlanta?
7. What is the total price charged to Executrain of Atlanta for courses?

### Example Answers:

1.	(All Treatments) SELECT Name, Phone FROM Customer WHERE State = 'CO' ORDER BY Name		
2.	(All Treatments) SELECT Product.Name, Course.Name FROM (Product JOIN Vendor ON Vendor.VendorID = Product.VendorID) JOIN course ON Course.ProductID = Product.ProductID WHERE Vendor.Name = 'Microsoft'		
	<b>Answers for the State-Based Treatment</b>	<b>Answers for the Event-Based Treatment</b>	<b>Answers for the Artifact-Based Treatment</b>
3.	SELECT CarRentalAgency, CarReserved, LastName FROM SaleOrder s JOIN Employee e ON e.EmployeeID = s.ReserveCarEmployeeID WHERE CarReserved < '3/4/95'	SELECT CarRentalAgency, CarReserved, LastName FROM ReserveCar r JOIN Employee e ON e.EmployeeID = r.EmployeeID WHERE CarReserved < '3/4/95'	SELECT CarRentalAgency, CarReserved, LastName FROM Reservation r JOIN Employee e ON e.EmployeeID = r.CarReservedEmployeeID WHERE CarReserved < '3/4/95'
4.	SELECT FirstName,LastName, HotelReserved, Hotel.City FROM SaleOrder s JOIN Employee e ON e.EmployeeID = s.ReserveHotelEmployeeID JOIN Hotel h ON h.HotelID = s.HotelID WHERE HotelName = 'Holiday Inn'	SELECT FirstName,LastName, HotelReserved, Hotel.City FROM ReserveHotel r JOIN Employee e ON e.EmployeeID = r.EmployeeID JOIN Hotel h ON h.HotelID = r.HotelID WHERE HotelName = 'Holiday Inn'	SELECT FirstName,LastName, HotelReserved, Hotel.City FROM Reservation r JOIN Employee e ON e.EmployeeID = r.ReserveHotelEmployeeID JOIN Hotel ON h.HotelID = r.HotelID WHERE HotelName = 'Holiday Inn'
5.	SELECT CoursewareVerified FROM SaleOrder WHERE SaleID = 305	SELECT CoursewareVerified FROM VerifyCourseware WHERE SaleID = 305	SELECT CoursewareVerified FROM Courseware WHERE SaleID = 305
6.	SELECT sum(Amount) FROM Payment p JOIN SaleOrder s ON p.SaleID = s.SaleID JOIN Customer c ON c.CustomerID = s.CustomerID WHERE c.Name = 'Executrain of Atlanta'	SELECT sum(Amount) FROM ReceivePayment p JOIN ReceiveOrder r ON p.SaleID = r.SaleID JOIN customer c ON c.CustomerID = r.CustomerID WHERE c.Name = 'Executrain of Atlanta'	SELECT sum(Amount) FROM Payment p JOIN SalesOrder s ON p.SaleID = s.SaleID JOIN Customer c ON c.CustomerID = s.CustomerID WHERE c.name = 'Executrain of Atlanta'
7.	SELECT sum(price) FROM saleorder s JOIN customer c ON c.customerid = s.customerid WHERE c.Name = 'Executrain of Atlanta'	SELECT sum(price) FROM receiveorder r JOIN customer c ON c.customerid = r.customerid WHERE c.Name = 'Executrain of Atlanta'	SELECT sum(price) FROM salesorder s JOIN customer c ON c.customerid = s.customerid WHERE c.Name = 'Executrain of Atlanta'